

JUN 21 2004

OFFICIAL

<b>TRANSMITTAL OF SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT UNDER 37 CFR § 1.97(b), 1.97(c), or 1.97(d)</b>			<b>Attny. Docket No. 2003U038.US</b>
<b>In Re Application of: Porter C. Shannon et al.</b>			
<b>U.S. Serial No. 10/781,404</b>	<b>Filing Date February 18, 2004</b>	<b>Examiner Unassigned</b>	<b>Group Art Unit Unassigned</b>

Address to:  
Mail Stop Non-Fee Amendment  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

**37 CFR § 1.97(b)**

- ☒ The Information Disclosure Statement submitted herewith is being filed within three months of the filing date of a national application other than a continued prosecution application under § 1.53(d); within three months of the date of entry of the national stage as set forth in § 1.491 in an international application; before the mailing of a first Office Action on the merits; or before the mailing of a first Office Action after the filing of a request for continued examination under § 1.114.

**37 CFR § 1.97(c)**

- ☐ The Information Disclosure Statement submitted herewith is being filed after three months of the filing date of a national application other than a continued prosecution application under § 1.53(d); after three months of the date of entry of the national stage as set forth in § 1.491 in an international application; after the mailing of a first Office Action on the merits; or after the mailing of a first Office Action after the filing of a request for continued examination under § 1.114, but before the mailing date of:

1. a Final Action under § 1.113,
2. a Notice of Allowance under § 1.311, or
3. an action that otherwise closes prosecution in the application,

and is accompanied by either:

- ☐ the fee as set forth in § 1.17(p), or  
☐ the following statement under § 1.97(e)(1): each item of information contained in the information disclosure statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the information disclosure statement.

**37 CFR § 1.97(d)**

- ☐ The Information Disclosure Statement submitted herewith is being filed after a Final Action under § 1.113, a Notice of Allowance under § 1.311, or an action that otherwise closes prosecution in the application, but before, or simultaneously with, the payment of the issue fee. Submitted herewith is the fee as set forth in § 1.17(p) and the following statement under 37 CFR § 1.97(e)(1): each item of information contained in the information disclosure statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the information disclosure statement.

Applicant hereby request consideration of the Information Disclosure Statement, USPTO form 1449, submitted herewith.

☐ Legible copies of the references are enclosed.

☐ This application is a:  
☐ Continuation / Divisional,  
☐ Continuation-in-Part,


of U.S.S.N. \_\_\_\_\_

Copies of the cited U.S. patent references are ☐ enclosed; ☒ not enclosed.

Copies of the cited non-U.S. references are ☒ enclosed; ☐ not enclosed. References are available in the parent application(s) if not enclosed.

The Commissioner is hereby authorized to charge any additional fees which may be required by this paper, or credit any overpayment, to Deposit Account Number 50-0589.

Date June 21, 2004

  
Kevin M. Faulkner  
Registration No. 45,427  
Univation Technologies, L.L.C.  
5555 San Felipe, Suite 1950  
Houston, Texas 77056-2723  
(713) 892-3729 Voice  
(713) 892-3687 Facsimile

FORM - 1449

SHEET 1 OF 1

<b>INFORMATION DISCLOSURE STATEMENT</b>  <i>(Use several sheets if necessary)</i>				ATTY. DOCKET NO.		SERIAL NO.	
				2003U038.US		10/781,404	
				APPLICANT			
				Porter C. Shannon et al.			
				FILING DATE		GROUP	
02/18/2004		Unassigned					
U.S. PATENT DOCUMENTS							
*EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	BA	US 4,461,873	7/24/84	Bailey et al.	525	240	
	BB	US 4,551,704	4/16/85	Tanaka et al.	526	125	
	BC	US 5,494,965	2/27/96	Harlin et al.	525	52	
	BD	US 5,858,491	1/12/99	Geussens et al.	428	36.9	
	BE	US 6,534,604	3/18/03	Loveday et al.	526	113	
FOREIGN PATENT DOCUMENTS							
		DOCUMENT NUMBER	PUBL. DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION YES   NO
OTHER DISCLOSURES (Including Author, Title, Date, Pertinent Pages of Publication, Etc.)							
	BF	HTA 001HP2 "ExxonMobil HDPE Blown Film Resin" ExxonMobil Chemical (2003)					
	BG	HDPE 53050E "Blown Film Resin" Dow Plastics (1997)					
	BH	F00952EQ "HDPE for Blow Film" SABIC (Saudi Basic Industries Corp.) (2002)					
	BI	FINATHENE 2285 and 2287 "High Density Polyethylene" Atofina (2002); "FINATHENE HMW-HDPE Optimization of Blown Film, Atofina Petrochemicals, Inc. (posted petrochemicals.atofina.com 2001-2004).					
EXAMINER				DATE CONSIDERED			
EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next comment to applicant.							